REVISED Sociology 280G Social Stratification and Class Analysis Fall 2012 – Tuesdays, 10am-12noon, 402 Barrows

Professor Samuel R. Lucas Office: 438 Barrows Hall Phone: 642-4765 or 642-4766

E-mail address: lucas@demog.berkeley.edu home-page: http://samuelroundfieldlucas.com

Office hours: Tuesdays, 8:00-10:00am (but check web-site for updates)

This course serves as an introduction to the field of social stratification and class analysis (strat for short). Strat is a field that weds an intense interest in theoretical claims to a commitment to the empirical adjudication of theoretical debates. To evaluate theories empirically has called analysts to employ tools systematically; many times, theoretical debates about the world find articulation in the language of methodology. Yet, if one can attend to the technical issues without becoming lost in the wizardry, one can find at the center a substantive debate with potentially large implications for our understanding of inequality and power in society.

And what could be more important for our time? Why is it that some people are paid a great deal, while others scrape by on very little? What are the structural forces that allocate persons to these different positions in society? How much does one's parents' status determine one's own future? How are persons sorted into mating partnerships? How long do the effects of previous generations last? Can states or trans-state entities do anything to alter the pattern of inequality or reduce its impact? I could go on listing questions, which is to say that, unfortunately, we will not be able to read the literature on every single question of interest to strat researchers. But, as even this smattering of questions suggests, the issues that occupy strat researchers concern the development of public policy; the functioning of economies and distribution of goods; the acquisition and wielding of political power; the stock of philosophical, religious, and ideological commitments; in short, the entire edifice of society and any effort to forge a better world for future generations. Hence, the stakes in play for strat research are vast, daunting--and humbling.

Among the many benefits students who complete this course will obtain, one is that they will be well-prepared to take orals in social stratification and class analysis. Students interested in taking orals in this area are encouraged to speak with me about the process of securing passage of the orals exam.

Attendance

It should go without saying that students should not make travel plans that interfere with class activities.

Reading Materials

Most of the reading is available via JSTOR or Google Scholar. Articles on JSTOR are noted in the syllabus with a bold **JSTOR** after the citation; those available via Google Scholar have a bold **Google Scholar** after the citation. A few articles are available at one or more other sites if

accessed from a machine on the UC-Berkeley network; in such cases either a correct web-site for the journal will appear in bold after the citation, or the word **OskiCat** will appear in bold after the citation, signifying that you need to call up the journal on OskiCat, find the issue and then obtain the paper. Other readings are in a Reader you may purchase at Copy Central, 2560 Bancroft.

Assignments and Grading

There are two types of writing assignments: 1)weekly short comments and, 2)a final paper. Each week students are to write a short (1-3 paragraph) comment on the collected readings of the week. The plain text (no bold, italics, underlining, colors, and so forth) should be e-mailed (no attachments) to socpost@gmail.com by 9pm the Monday before class. Place the label "Soc280G" in the subject field.

There are two options for the final paper. Students may write an *empirical research paper* that engages the stratification literature and a question or questions of interest to the field of social stratification. Or students may write a *research proposal* that engages the stratification literature and a question or questions of interest to the field of social stratification. Students interested in writing an empirical research paper can look to any of the many papers on the syllabus for clues as to how to structure such a paper. Students interested in writing a research proposal (and students who are searching for research questions as well) are encouraged to go to http://www.ssrc.org/workspace/images/crm/new_publication_3/%7B7a9cb4f4-815f-de11-bd80-01cc477ec70%7D.pdf from which they may download "The Art of Writing Proposals: Some Candid Suggestions for Applicants to Social Science Research Council Competitions." This resource describes general issues that will be helpful to anyone drafting a proposal. Of course, students are expected to discuss their final projects at an early stage with other members of the class. A synopsis of the paper is required, and is due **October 23.**

The course grade is based on attendance, class participation, the regularly submitted short comments, and the final paper. Every member of the class is expected to speak in class every class, without prompting from others. Late work will not be accepted. No Incompletes will be given in this course.

Stratification and Statistics

One cannot begin to address the questions of Social Stratification and Class Analysis absent the tool of statistics. If one is interested in systematic inequalities of power, autonomy, financial resources, education, and more, and in the impact these have on individuals, communities, nations, and the world system, as well as those of different racial, ethnic, gender, religious, age, culture, or physical statuses, one must become adept at reading and intelligently (as opposed to dismissively) critiquing the research that uses statistical tools. One may, of course, conduct whatever kind of research one wishes to conduct—there is a place for qualitative research, especially comparative-historical but also ethnographic approaches, in the study of social stratification and class. But, even so, in order to place one's own research in the context of these larger questions, as well as to follow and engage in the theoretical debates that occupy this area,

one must become knowledgeable about statistics. Fortunately, resources are available that can aid in this endeavor. I will say more about these resources in our first meeting.

Week 1, Aug 28 -- Introduction

Week 2, Sep 4 – Classic Issues in Social Stratification Research

- Goldhamer, Herbert, and Edward A. Shils. 1939. "Types of Power and Status." *American Journal of Sociology* 45: 171-182. **JSTOR**
- 2010. "The distribution of power within the community: Classes, Stände, Parties by Max Weber", translated by Dagmar Waters, Tony Waters, Elisabeth Hahnke, Maren Lippke, Eva Ludwig-Glück, Daniel Mai, Nina Ritzi-Messner, Christina Veldhoen, and Lucas Fassnacht. *Journal of Classical Sociology* 10: 137-152. **Google Scholar**
- Davis, Kingsley, and Wilbert E. Moore. 1945. "Some Principles of Stratification." *American Sociological Review* 10: 242-249. **JSTOR**
- Lehman, Edward W. 1969. "Toward a Macrosociology of Power." *American Sociological Review* 34: 453-465. **JSTOR**

Week 3, Sep 11 -- Status? Selected Theoretical and Measurement Issues

- Duncan, Otis Dudley. 1961. "A Socioeconomic Index for All Occupations," pp. 109-138 (Chapter 6) in *Occupations and Social Status*, edited by Albert J. Reiss, Jr. New York, NY: The Free Press. **READER**
- Featherman, David L., and Rober M. Hauser. 1976. "Prestige or Socioeconomic Scales in the Study of Occupational Achievement?" *Sociological Methods and Research* 4: 403-422. **Google Scholar**
- Hauser, Robert M., and John Robert Warren. 1997. "Socioeconomic Indexes for All Occupations: A Review, Update, and Critique." *Sociological Methodology* 27: 177-298. **JSTOR**
- England, Paula, Melissa S. Herbert, Barbara Stanek Kilbourne, Lori L. Reid, and Lori McCreary Megdal. 1994. "The Gendered Valuation of Occupations and Skills: Earnings in 1980 Census Occupations." *Social Forces* 73: 65-100. **JSTOR**

Week 4, Sep 18 – Class? Selected Theoretical and Measurement Issues

- Erikson, Robert, and John H. Goldthorpe. 2002. "Intergenerational Inequality: A Sociological Perspective." *Journal of Economic Perspectives* 16: 31-44. **Google Scholar**
- Wright, Erik Olin. 1980. "Varieties of Marxist Conceptions of Class Structure." *Politics and Society* 9: 323-370. **Google Scholar**

- Wright, Erik Olin, Cynthia Costello, David Hachen, and Joey Sprague. 1982. "The American Class Structure." *American Sociological Review* 47: 709-726. **JSTOR**
- Wright, Erik Olin, and Luca Perrone. 1977. "Marxist Class Categories and Income Inequality." American Sociological Review 42: 32-55. **JSTOR**
- Wright, Erik Olin. 2002. "The Shadow of Exploitation in Weber's Class Analysis." *American Sociological Review* 67: 832-853. **JSTOR**

Week 5, Sep 25 – CLASS CANCELLED due to illness

Week 6, Oct 2 – Status, Class, and Subjectivity

- Jackman, Mary R. 1979. "The Subjective Meaning of Social Class Identification in the United States." *Public Opinion Quarterly* 43: 443-462. **JSTOR**
- Chan, Tak Wing, and John H. Goldthorpe. 2007. "Class and Status: The Conceptual Distinction and its Empirical Relevance." *American Sociological Review* 72: 512-532. **Google Scholar**
- Wright, Erik Olin. 1989. "The Comparative Project on Class Structure and Class Consciousness: An Overview." *Acta Sociologica* 32: 3-22. **Google Scholar**
- Kiester, Lisa A., and Stephanie Moller. 2000. "Wealth Inequality in the United States." *Annual Review of Sociology* 26: 63-81. **JSTOR**
- Bottero, Wendy. 2004. "Class Identities and the Identity of Class." *Sociology* 38: 985-1003. **Google Scholar**
- Fraser, Nancy. 2000. "Rethinking Recognition" New Left Review 3: 107-120. Google Scholar

Week 7, Oct 9 -- Attainment Processes & Social-Psychological Models

- Blau, Peter M., and Otis Dudley Duncan, with the collaboration of Andrea Tyree. 1967. "The Process of Stratification," pp. 1-21 (Chapter 1) in *The American Occupational Structure*, by Peter M. Blau and Otis Dudley Duncan, with the collaboration of Andrea Tyree. New York, NY: The Free Press. **READER**
- Blau, Peter M., and Otis Dudley Duncan, with the collaboration of Andrea Tyree. 1967. "The Process of Stratification," pp. 163-205 (Chapter 5) in *The American Occupational Structure*, by Peter M. Blau and Otis Dudley Duncan, with the collaboration of Andrea Tyree. New York, NY: The Free Press. **READER**

- Sewell, William H., and Robert M. Hauser. 1980. "The Wisconsin Longitudinal Study of Social and Psychological Factors in Aspirations and Achievement." *Research in Sociology of Education and Socialization* 1: 59-99. **READER**
- Kerckhoff, Alan C. 1976. "The Status Attainment Process: Socialization or Allocation?" *Social Forces* 55: 368-381. **JSTOR**
- Horan, Patrick M. 1978. "Is Status Attainment Research Atheoretical?" *American Sociological Review* 43: 534-541. **JSTOR**

Week 8, Oct 16 – Human Capital Theory

- Becker, Gary. 1962. "Investment in Human Capital: A Theoretical Analysis." *Journal of Political Economy* 70 (Supplement) 9-49. **JSTOR**
- Card, David. 1999. "The Causal Effect of Education on Earnings," pp 1801-1863 of *Handbook of Labor Economics, Volume 3*, edited by Orley C. Ashenfelter and David Card. Amsterdam, The Netherlands: Elsevier Science B.V. **Google Scholar**
- Betts, Julian R. 1996. "What Do Students Know about Wages? Evidence from a Survey of Undergraduates." *Journal of Human Resources* 31: 27-56. **JSTOR**
- Paglin, Morton, and Anthony M. Rufolo. 1990. "Heterogeneous Human Capital, Occupational Choice, and Male-Female Earnings Differences." *Journal of Labor Economics* 8: 123-144. **JSTOR**
- Mazumder, Bhaskar. 2006. "The Apple Falls Even Closer to the Tree than We Thought: New and Revised Estimates of the Intergenerational Inheritance of Earnings," pp. 80-99 in *Unequal Chances: Family Background and Economic Success*, edited by Samuel Bowles, Herbert Gintis, and Melissa Osborne Groves. New York, NY: Russell Sage Foundation. **READER**
- Week 9, Oct 23 Cultural Capital Theory NOTE: SHORT SYNOPSIS OF PAPER DUE
- Bourdieu, Pierre. 1986. "The Forms of Capital," pp. 241-258 in *Handbook of Theory and Research for the Sociology of Education*, edited by John Richardson. New York, NY: Greenwood Press. **READER**
- DiMaggio, Paul. 1982. "Cultural Capital and School Success: The Impact of Status Culture Participation on the Grades of U.S. High School Students." *American Sociological Review* 47: 189-201. **JSTOR**
- Kingston, Paul W. 2001. "The Unfulfilled Promise of Cultural Capital Theory." Sociology of

- *Education* 74 Extra Issue: Current Thought: Sociology of Education at the Dawn of the 21st Century: 88-99. **JSTOR**
- Lareau, Annette, and Elliot B. Weininger. 2003. "Cultural Capital in Educational Research: A Critical Assessment." *Theory and Society* 32: 567-606. **JSTOR**

Week 10, Oct 30 – Social Mobility

- Erikson, Robert, John H. Goldthorpe, and Lucienne Portocarero. 1979. "Intergenerational Class Mobility in Three Western European Societies: England, France and Sweden." *British Journal of Sociology* 30: 415-441. **JSTOR**
- Grusky, David B., and Robert M. Hauser. 1984. "Comparative Social Mobility Revisited:

 Models of Convergence and Divergence in 16 Countries." *American Sociological Review*49: 19-38. **JSTOR**
- Hout, Michael. 1984. "Status, Autonomy, and Training in Occupational Mobility." *American Journal of Sociology* 89: 1379-1409. **JSTOR**
- Logan, John Allen. 1996. "Opportunity and Choice in Socially Structured Labor Markets." *American Journal of Sociology* 102: 114-160. **JSTOR**
- Logan, John Allen. 1996. "Rules of Access and Shifts in Demand: A Comparison of Log-Linear and Two-Sided Logit Models." *Social Science Research* 25: 174-199. **OskiCat**

Week 11, Nov 6 – Sex, Gender, and Social Stratification

- Acker, Joan. 1973. "Women and Social Stratification: A Case of Intellectual Sexism." American Journal of Sociology 78: 936-945. **JSTOR**
- Goldthorpe, John H., and Clive Payne. 1986. "On the Class Mobility of Women: Results from Different Approaches to the Analysis of Recent British Data." *Sociology* 20: 531-555. **Google Scholar**
- Wright, Erik Olin. 1989. "Women in the Class Structure." *Politics and Society* 17: 35-66. **OskiCat**
- Beller, Emily. 2009. "Bringing Intergenerational Social Mobility Research into the Twenty-first Century: Why Mothers Matter." *American Sociological Review* 74: 507-528. **Google Scholar**
- England, Paula, Paul Allison, and Yuxiao Wu. 2006. "Does bad pay cause occupations to feminize, Does feminization reduce pay, and How can we tell with longitudinal data?."

- Social Science Research 36: 1237-1256. Google Scholar
- Sayer, Liana C. 2005. "Gender, Time and Inequality: Trends in Women's and Men's Paid Work, Unpaid Work, and Free Time." *Social Forces* 84: 285-303. **Google Scholar**
- Udry, J. Richard. 2000. "Biological Limits of Gender Construction." *American Sociological Review* 65: 443-457. **JSTOR**
- Charles, Maria. 1992. "Cross-National Variation in Occupational Sex Segregation." *American Sociological Review* 57: 483-502. **JSTOR**

Week 12, Nov 13 – Race, Ethnicity, and Social Stratification

- Lucas, Samuel R., and Marcel Paret. 2005. "Law, Race, and Education in the United States." *Annual Review of Law and Social Science* 1: 203-231. **Google Scholar**
- Smith, Ryan A. 2002. "Race, Gender, and Authority in the Workplace: Theory and Research." *Annual Review of Sociology* 28: 509-542. **Google Scholar**
- Portes, Alejandro, and Alex Stepick. 1985. "Unwelcome Immigrants: The Labor Market Experience of 1980 (Mariel) Cuban and Haitian Refugees in South Florida." *American Sociological Review* 50: 493-514. **JSTOR**
- Sanders, Jimy N., and Victor Nee. 1987. "Limits of Ethnic Solidarity in the Enclave Economy." *American Sociological Review* 52: 745-773. **JSTOR**
- Borjas, George J. 1998. "Do Blacks Gain or Lose From Immigration?, pages 51-74 in *Help or Hindrance: The Economic Implications of Immigration for African Americans*, edited by Daniel S. Hamermesh and Frank D. Bean. New York, NY: Russell Sage Foundation. **READER**

Week 13, Nov 20 – Firms and Stratification

- Baron, James N., and William T. Bielby. 1980. "Bringing the Firms Back in: Stratification, Segmentation, and the Organization of Work." *American Sociological Review* 45:737-765. **JSTOR**
- Beck, E.M., Patrick M. Horan, and Charles M. Tolbert II. 1978. "Stratification in a Dual Economy: A Sectoral Model of Earnings Determination." *American Sociological Review* 43: 704-720. **JSTOR**
- Hauser, Robert M. 1980. "Comment on Beck, Horan, and Tolbert, 'On Stratification in a Dual Economy'." *American Sociological Review* 45: 702-712. **JSTOR**

- Beck, Elwood M., Patrick M. Horan, and Charles M. Tolbert II. 1980. "Reply to Hauser, Social Stratification in Industrial Society: Further Evidence for a Structural Alternative." *American Sociological Review* 45: 712-718. **JSTOR**
- Sakamoto, Arthur, and Meichu Chen. 1991. "Inequality and Attainment in a Dual Labor Market." *American Sociological Review* 56:295-308. **JSTOR**
- Stainback, Kevin, Donald Tomaskovic-Devey, and Sheryl Skaggs. 2010. "Organizational Approaches to Inequality: Inertia, Relative Power, and Environments." *Annual Review of Sociology* 36: 225–47. **Google Scholar**

Week 14, Nov 27 – Ties and Stratification

- Granovetter, Mark S. 1973. "The Strength of Weak Ties." *American Journal of Sociology* 78: 1360-1380. **JSTOR**
- Coleman, James S. 1988. "Social Capital in the Creation of Human Capital." *American Journal of Sociology* 94: S95-S120. **JSTOR**
- Lin, Nan. 1999. "Social Networks and Status Attainment." *Annual Review of Sociology* 25: 467-487. **JSTOR**
- Fernandez, Roberto M., and Isabel Fernandez-Mateo. 2006. "Networks, Race, and Hiring." *American Sociological Review* 71: 42-71. **JSTOR**
- Durlauf, Steven N. 1999. "The Case 'Against' Social Capital." Focus 20; 3: 1-5. http://irp.wisc.edu/publications/focus/pdfs/foc203.pdf http://ideas.repec.org/p/att/wimass/199929.html
- Putnam, Robert. D. 2007. "E Pluribus Unum: Diversity and Community in the Twenty-first Century, The 2006 Johan Skytte Prize Lecture." *Scandinavian Political Studies* 30:137-174. **Google Scholar**

Week 15, Dec 4 -- Structures of Education and Inequality Regimes

- Mare, Robert D. 1980. "Socioeconomic Background and School Continuation Decisions." Journal of the American Statistical Association 75: 295-305. **JSTOR**
- Blossfeld, Hans-Peter, and Yossi Shavit. 1993. "Persisting Barriers: Changes in Educational Opportunities in Thirteen Countries," pp. 1-23 in *Persistent Inequality: Changing Educational Attainment in Thirteen Countries*, edited by Yossi Shavit and Hans-Peter Blossfeld. Boulder, CO: Westview Press. **READER**

- Raftery, Adrian E., and Michael Hout. 1993. "Maximally Maintained Inequality: Expansion, Reform, and Opportunity in Irish Education, 1921-75." *Sociology of Education* 66: 41-62. **JSTOR**
- Breen, Richard, and John H. Goldthorpe. 1997. "Explaining Educational Differentials: Towards A Formal Rational Action Theory." *Rationality and Society* 9: 275-305. **Google Scholar**
- Lucas, Samuel R. 2001. "Effectively Maintained Inequality: Education Transitions, Track Mobility, and Social Background Effects." *American Journal of Sociology* 106: 1642-1690. **JSTOR**
- Hout, Michael. 2006. "Maximally Maintained Inequality and Essentially Maintained Inequality: Crossnational Comparisons." *Sociological Theory and Methods* 21: 253-278. **READER**
- Lucas, Samuel R. 2009. "Stratification Theory, Socioeconomic Background, and Educational Attainment: A Formal Analysis." *Rationality and Society* 21: 459-511. **Google Scholar**
- Week 15, Dec 11 -- Provisional Reflections on Multiple Dimensions of Stratification and Inequality (WE HAVE TO DECIDE WHETHER WE WANT TO HOLD THIS MEETING)

Final Papers due by noon on Tuesday, Dec 11